06-28-01 A

Express Mail Label No. EL730724072US

06/07/01 Express U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
70820-55991
Total Pages in this Submission
75

TO THE ASSISTANT COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

OPTICAL PICKUP DEVICE, ABERRATION CORRECTING METHOD AND ABERRATION DETECTING UNIT THEREFOR

09/876479 U.S. PTO 06/07/01

and invented by:

Eiji Yamada

If a CONTINUATION APPLICATION, *check appropriate box and supply the requisite information:*

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: _____

Which is a:

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: _____

Which is a:

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: _____

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 64 pages and including the following:
 a. ☒ Descriptive Title of the Invention
 b. ☐ Cross References to Related Applications *(if applicable)*
 c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
 d. ☐ Reference to Microfiche Appendix *(if applicable)*
 e. ☒ Background of the Invention
 f. ☒ Brief Summary of the Invention
 g. ☒ Brief Description of the Drawings *(if drawings filed)*
 h. ☒ Detailed Description
 i. ☒ Claim(s) as Classified Below
 j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
70820-55991
Total Pages in this Submission
75

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
 a. ☒ Formal Number of Sheets 11
 b. ☐ Informal Number of Sheets _____

4. ☐ Oath or Declaration
 a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
 b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
 c. ☐ With Power of Attorney ☐ Without Power of Attorney
 d. ☐ *DELETION OF INVENTOR(S)*
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche *(Appendix)*

7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
 a. ☐ Paper Copy
 b. ☐ Computer Readable Copy *(identical to computer copy)*
 c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*

9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*

10. ☐ English Translation Document *(if applicable)*

11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing
 ☐ First Class ☒ Express Mail *(Specify Label No.):* EL730724072US

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
70820-55991
Total Pages in this Submission
75

Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

Japanese Patent Appln. No. 2000-171597 - Filed June 8, 2000 (TO FOLLOW)

16. ☐ Additional Enclosures *(please identify below):*

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
70820-55991
Total Pages in this Submission
75

Fee Calculation and Transmittal

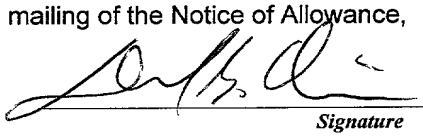
CLAIMS AS FILED

For	#Filed	#Allowed	#Extra		Rate	Fee
Total Claims	14	- 20 =	0	x	$18.00	$0.00
Indep. Claims	2	- 3 =	0	x	$80.00	$0.00
Multiple Dependent Claims (check if applicable) ☐						$0.00
					BASIC FEE	$710.00
OTHER FEE *(specify purpose)* _____						$0.00
					TOTAL FILING FEE	$710.00

☒ A check in the amount of **$710.00** to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **04-1105** as described below. A duplicate copy of this sheet is enclosed.

- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dated: **June 7, 2001**

David G. Conlin
Registration No. 27026
Dike, Bronstein, Roberts & Cushman
Intellectual Property Practice Group
Edwards & Angell
P.O. Box 9169
Boston, MA 02209
Tel: (617) 439-4444

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): **Eiji Yamada**			Docket No. **70820-55991**
Serial No. **Not Yet Assigned**	Filing Date **Filed Herewith**	Examiner **Not Yet Assigned**	Group Art Unit **Not Yet Assigned**

Invention: **OPTICAL PICKUP DEVICE, ABERRATION CORRECTING METHOD AND ABERRATION DETECTING UNIT THEREFOR**

I hereby certify that the following correspondence:

UTILITY PATENT APPLICATION

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 7, 2001
(Date)

Louise M. Rappaport
(Typed or Printed Name of Person Mailing Correspondence)

Louise M. Rappaport
(Signature of Person Mailing Correspondence)

EL730724072US
("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.